

*Citation for published version:*

Whittles, TJ, Burton, LA, Skelton, JM, Walsh, A, Veal, TD & Dhanak, VR 2016, 'Band alignments, valence bands, and core levels in the tin sulfides SnS, SnS<sub>2</sub>, and Sn<sub>2</sub>S<sub>3</sub>: Experiment and Theory', *Chemistry of Materials*, vol. 28, no. 11, pp. 3718–3726. <https://doi.org/10.1021/acs.chemmater.6b00397>

*DOI:*

[10.1021/acs.chemmater.6b00397](https://doi.org/10.1021/acs.chemmater.6b00397)

*Publication date:*

2016

*Document Version*

Peer reviewed version

[Link to publication](#)

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# Band Alignments, Valence Bands and Core Levels in the Tin Sulfides SnS, SnS<sub>2</sub> and Sn<sub>2</sub>S<sub>3</sub>: Experiment and Theory

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**KEYWORDS:** *tin sulfides, lone pair electrons, photoemission measurements, DFT calculations, band alignments*

**ABSTRACT:** Tin sulfide solar cells show relatively poor efficiencies despite attractive photovoltaic properties, and there is difficulty in identifying separate phases, which are also known to form during Cu<sub>2</sub>ZnSnS<sub>4</sub> depositions. We present X-ray photoemission spectroscopy (XPS) and inverse photoemission spectroscopy measurements of single crystal SnS, SnS<sub>2</sub> and Sn<sub>2</sub>S<sub>3</sub>, with electronic-structure calculations from density functional theory (DFT). Differences in the XPS spectra of the three phases, including a large 0.9 eV shift between the 3d<sub>5/2</sub> peak for SnS and SnS<sub>2</sub>, make this technique useful when identifying phase-pure, or mixed-phase systems. Comparison of the valence band spectra from XPS and DFT reveals extra states at the top of the valence bands of SnS and Sn<sub>2</sub>S<sub>3</sub>, arising from the hybridization of lone pair electrons in Sn(II), which are not present for Sn(IV), as found in SnS<sub>2</sub>. This results in relatively low ionization potentials for SnS (4.71 eV) and Sn<sub>2</sub>S<sub>3</sub> (4.66 eV), giving a more comprehensive explanation as to the origin of the poor efficiencies. We also demonstrate, by means of a band alignment, the large band offsets of SnS and Sn<sub>2</sub>S<sub>3</sub> from other photovoltaic materials, and highlight the detrimental effect on cell performance of secondary tin sulfide phase formation in SnS and CZTS films.

## INTRODUCTION

Next-generation materials for use in thin film photovoltaics (PV) are receiving intensive study, driven by the toxicity and scarcity of components in the current leading commercial materials, cadmium telluride (CdTe) and copper indium gallium diselenide (CIGS).<sup>1</sup> Viable new materials should consist of readily available and environmentally friendly elements, whilst simultaneously retaining attractive PV properties. Copper zinc tin sulfide/selenide (CZTS) is one of the most auspicious contenders in this field, currently holding a record conversion efficiency of 12.6%.<sup>2</sup> As well as CZTS, several binary compounds also fulfill these criteria in their own right. Of interest here is tin sulfide (SnS), which is also important with regard to CZTS development because it is commonly used as a precursor for CZTS growth,<sup>3–5</sup> and is sometimes observed to form as an unwanted secondary phase.<sup>6–10</sup>

SnS was first realized as a promising PV absorber in 1997,<sup>11</sup> and has a bandgap of 1.1–1.5 eV,<sup>12,13</sup> a high optical absorption coefficient,<sup>14,15</sup> and intrinsic *p*-type conductivity.<sup>16</sup> Thin-film deposition methodologies for preparing SnS are

many and varied, with sulfurization,<sup>17</sup> sputtering,<sup>18</sup> electrochemical deposition,<sup>19</sup> spray pyrolysis,<sup>20</sup> and thermal evaporation,<sup>21</sup> having been successful, amongst others. Despite this, fabricated devices have almost exclusively shown conversion efficiencies below 4%,<sup>20–23</sup> with the record being only 4.36%.<sup>24</sup> It has been suggested that poor band alignment in commonly-used device structures is the reason for these low efficiencies,<sup>22</sup> with a previous study, in which the band positions of SnS were determined by density functional theory (DFT), supporting this.<sup>25</sup> Also, three phases of tin sulfide are known to form (SnS, SnS<sub>2</sub>, Sn<sub>2</sub>S<sub>3</sub>),<sup>26</sup> and as phase impurities, these could also be detrimental to cell performance, as discussed in our previous, theoretical study.<sup>27</sup> On the other hand, SnS<sub>2</sub> has potential as a water-splitting photocatalyst,<sup>28</sup> with a photon-to-current conversion efficiency of 38.7%,<sup>29</sup> and Sn<sub>2</sub>S<sub>3</sub> could have as yet unknown applications as it is relatively poorly studied.<sup>30</sup>

Tin is able to form two oxidation states, Sn(II) ([Kr]4d<sup>10</sup>5s<sup>2</sup>5p<sup>0</sup>) and Sn(IV) ([Kr]4d<sup>10</sup>5s<sup>0</sup>5p<sup>0</sup>), with SnS and SnS<sub>2</sub> containing Sn in each of these single oxidation states respectively, whilst in Sn<sub>2</sub>S<sub>3</sub>, Sn adopts a mixed oxidation state, i.e. Sn(II)Sn(IV)S<sub>3</sub>.<sup>31</sup> Such differences in the electronic structures lead to contrasting material properties

between the phases. Indeed, both  $\text{SnS}_2$  and  $\text{Sn}_2\text{S}_3$  display  $n$ -type conductivity,<sup>32,33</sup> arising from the dominant sulfur vacancy associated with the Sn(IV) oxidation state.<sup>27</sup> Conversely, the  $p$ -type conductivity of  $\text{SnS}$ <sup>34</sup> is attributed to the dominant tin vacancy associated with the Sn(II) oxidation state.<sup>27</sup> Thus, confidence in the identification of the three phases is paramount, including where these occur as impurities. Utilizing spectroscopic or diffraction techniques is, however challenging due to difficulties in the assignment of different phases and in identifying mixed-phase systems.<sup>35–41</sup> It is therefore necessary to study the separate phases in isolation, so that methods for robust identification and discrimination can be developed, and the possible effects of the presence of phase impurities can be investigated.

In the  $\text{SnS}_2$  crystal structure, Sn(IV) coordinates to 6 S ions in regular octahedra. However in  $\text{SnS}$ , Sn(II) coordinates to three S ions, with a stereochemically-active lone pair of electrons occupying the final tetrahedral site.<sup>26</sup> This lone pair does not contribute to the bonding, but causes a distortion of the lattice.<sup>30</sup>  $\text{Sn}_2\text{S}_3$  is a 1:1 combination of these crystal structures.<sup>42</sup> It is expected that insights into the relatively poor efficiencies of  $\text{SnS}$  PV cells can be provided by studying the effects that this uncommon bonding environment has upon the electronic structure of the material.

In this report, we present the identification of the three distinct tin sulfide phases by performing photoemission spectroscopy (PES) measurements on single crystals. We also compare the measured and theoretical valence band spectra and perform a band alignment between the three phases, relating the differences between them to the underlying electronic structure. Finally, we also discuss the possible effects of tin sulfide phase impurities in both  $\text{SnS}$  and CZTS films on PV device performance in terms of the electronic structure and band alignment.

## METHODS

### Experimental

Single crystals of  $\text{SnS}$ ,  $\text{SnS}_2$  and  $\text{Sn}_2\text{S}_3$  were synthesized by iodine-assisted chemical vapor transport, and were shown to be phase-pure and of the correct stoichiometry.<sup>27</sup> Individual phases were visually identified by the nature of the crystals, with  $\text{SnS}$  being dark grey,  $\text{SnS}_2$  forming yellow flakes, and  $\text{Sn}_2\text{S}_3$  crystallizing as shiny black needles. These observations are in agreement with other studies.<sup>38</sup>

PES measurements were performed in a standard ultra-high vacuum (UHV) chamber operating at a base pressure of less than  $2 \times 10^{-10}$  mbar with hydrogen as the main residual gas. Crystals were selected for measurement using ceramic tweezers and affixed to the sample plate by pressing them firmly onto double-sided carbon tape. They were then cleaved using a steel blade in order to obtain a fresh surface. In vacuo crystal preparation was performed using an  $\text{Ar}^+$  ion source and a radiative heating stage.

The core-level electronic structure and occupied density of states in the valence band were probed by X-ray photoemission spectroscopy (XPS) using a SPECS monochro-

matic Al  $K\alpha$  X-ray source ( $h\nu = 1486.6$  eV) typically operating at 200 W, together with a PSP Vacuum Technology electron-energy analyzer operating with a typical constant pass energy of 10 eV. For measurement of the secondary electron cutoff (SEC) at low kinetic energies, the X-ray power was reduced to 9 W, the exit slit of the analyzer was reduced in size, and an arbitrarily chosen -10 V bias was applied to the sample to separate the spectrometer response. The unoccupied density of states in the conduction band was measured by inverse photoemission spectroscopy (IPES) using a PSP Vacuum Technology BaO cathode dispenser electron source and an isochromat NaCl photon detector, each mounted at an incidence angle of  $45^\circ$  to the sample normal.

Calibration of the spectrometers was performed using a polycrystalline silver foil, cleaned in vacuo. The Ag  $3d_{5/2}$  photoelectron line had a binding energy (BE) of 368.3 eV and a full-width at half maximum (FWHM) of 0.6 eV. The Fermi edge of the silver sample had a spectral width of 0.3 eV from XPS and 0.9 eV from IPES. From these calibrations, tolerances were determined to be  $\pm 0.1$  eV for core-level binding energies,  $\pm 0.05$  eV for the valence band maxima (VBM) and SEC, and  $\pm 0.14$  eV for the CBM. Although the cleaned samples were deemed to be free from contaminants according to the nominal sensitivity of the technique, charging of the semiconducting samples was checked by measuring the C 1s photoelectron region for many hours in order to obtain a significant signal from contaminant carbon. The C 1s photoelectron peak was found to have a BE of 285.0 eV, which was consistent across all samples and in agreement with other measurements of these materials.<sup>43</sup> It was thus concluded that no charging effects were observed in the spectra.

### Computational Modeling

Electronic-structure calculations were carried out on the three tin sulfides within the Kohn-Sham DFT<sup>44,45</sup> formalism, as implemented in the Vienna ab initio simulation package (VASP) code.<sup>46</sup>

The room-temperature structures of each of the three tin sulfides were relaxed using the Perdew-Burke-Ernzerhof (PBE) generalized-gradient approximation (GGA) functional<sup>47</sup> with the D3 dispersion correction applied to account for van der Waals forces;<sup>48</sup> the variant of the Becke-Johnson damping method was used.<sup>49</sup> A plane-wave basis set with a 550 eV kinetic energy cutoff was employed with projector augmented-wave (PAW) pseudopotentials<sup>50,51</sup> treating the Sn 5s, 4d and 5p and S 3s and 3p states as valence electrons. The Brillouin zones of  $\text{SnS}$ ,  $\text{SnS}_2$  and  $\text{Sn}_2\text{S}_3$  were sampled using Gamma-centered Monkhorst-Pack  $k$ -point grids,<sup>52</sup> with  $8 \times 4 \times 8$ ,  $8 \times 8 \times 6$  and  $4 \times 8 \times 3$  subdivisions respectively. The ion positions, cell shape and volume were optimized to a tolerance of  $10^{-7}$  eV on the electronic wavefunctions and  $5 \times 10^{-3}$  eV  $\text{\AA}^{-1}$  on the forces.

Electronic-structure calculations were then carried out on these optimized structures using the HSE06 hybrid functional.<sup>53–55</sup> The electronic density of states of the valence and conduction bands was simulated using denser Brillouin zone sampling meshes with  $12 \times 6 \times 12$ ,  $12 \times 12 \times 8$ , and

6×12×5 subdivisions (196, 131, and 84 irreducible  $k$ -points) for SnS, SnS<sub>2</sub> and Sn<sub>2</sub>S<sub>3</sub>, respectively, with interpolation being performed using the Blöchl-corrected tetrahedron method.<sup>56</sup> For these calculations, the electronic minimization was performed to a tolerance of 10<sup>-6</sup> eV.

## RESULTS AND DISCUSSION

### Crystal Preparation

The crystals were first subjected to a cleaning procedure in an UHV chamber. 5-10 minutes of sputtering using 500 eV Ar<sup>+</sup> was followed by thermal annealing at 230 °C for 200, 300 and 500 minutes for SnS, SnS<sub>2</sub> and Sn<sub>2</sub>S<sub>3</sub>, respectively, in order to remove surface contamination. During cleaning, the crystals were monitored by XPS for the presence and subsequent reduction of carbon and oxygen, and were deemed to be clean when the contaminant levels fell below sensitivity and the Sn 3d spectrum demonstrated the expected peak features of the pure crystals.

During the cleaning procedures, several notable changes to the crystals were observed. SnS was found to be contaminated with iodine, remnant due to its use as the transport medium in the chemical vapor transport (CVT) synthesis;<sup>27</sup> this contamination was significantly reduced by the surface cleaning procedure, and therefore it was concluded that this contamination was simply surface physisorbed iodine. Neither of the other two materials showed contamination with iodine in this study, although, trace iodine was observed in Sn<sub>2</sub>S<sub>3</sub> in a similar study.<sup>38</sup> More aggressive ion bombardment of SnS caused the formation of metallic tin on the surface, which we ascribed to the preferential sputtering of sulfur, as has been seen in similar materials.<sup>57-61</sup> Annealing the SnS<sub>2</sub> crystals at higher temperatures and for longer periods of time caused the appearance of Sn(II) features in the XPS spectra, thought to be from the formation of Sn<sub>2</sub>S<sub>3</sub> due to the removal of sulfur, which indicates that this phase is less stable at elevated temperature. This was also confirmed by visual inspection, which revealed shiny black crystals forming at the edges of the yellow parent ones. This is consistent with the fact that Sn<sub>2</sub>S<sub>3</sub> crystals were also found to form in the same ampoule as SnS<sub>2</sub> during growth,<sup>27</sup> and that SnS<sub>2</sub> has been shown to dissociate at higher temperatures, and to form in isolation only at lower temperatures.<sup>21,62</sup>

### Core-level XPS

High-resolution spectra of the Sn 3d and S 2p regions were recorded by XPS and fitted using Voigt functions after a Shirley background had been subtracted. A comparison between the Sn 3d<sub>5/2</sub> peaks of the three phases is shown in Figure 1, the corresponding comparison of the S 2p features is shown in Figure 2, and a summary of the fitted binding energies and FWHM of the main core-level features of Sn and S is given in Table 1.

A single, high-intensity Sn 3d<sub>5/2</sub> peak was observed for both the SnS and SnS<sub>2</sub> crystals, with the peak for SnS<sub>2</sub> at 0.9 eV higher binding energy than for SnS. These were assigned to the single oxidation states of tin of Sn(II) and Sn(IV), respectively, and the single, clear, high-intensity peaks in these spectra confirms the phase purity of both

materials. Trace amounts of tin oxide remained as a contaminant on both SnS and SnS<sub>2</sub> and is also shown in Figure 1. Although the peak labelled Sn-oxide in the SnS spectrum has similar binding energy to that of Sn<sup>4+</sup> in SnS<sub>2</sub>, the peak is assigned to the oxide because of the observance of its drastic reduction in area after surface cleaning. We note that the oxides forming on SnS and SnS<sub>2</sub> are different in binding energy, however they correspond to the binding energies<sup>63</sup> of SnO on SnS and SnO<sub>2</sub> on SnS<sub>2</sub>, which is understandable as there is no change in the oxidation state of tin between these materials and the corresponding oxide.

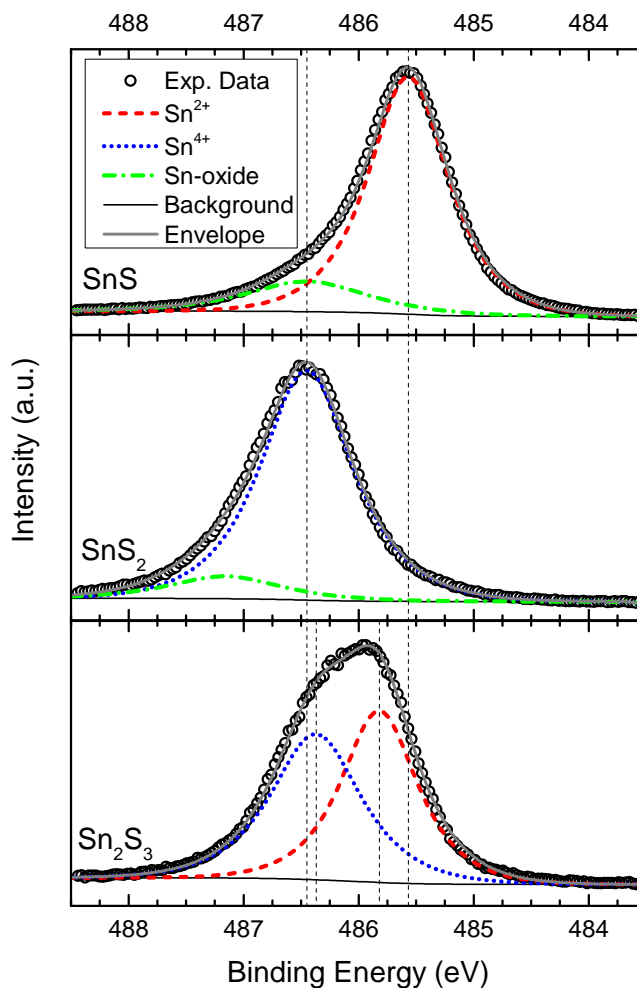


Figure 1. XPS spectra for the Sn 3d<sub>5/2</sub> peak of the clean tin sulfides. Fitted synthetic peaks demonstrate the shift between the different oxidation states of Sn. SnS and SnS<sub>2</sub> showed trace amounts of tin oxide, shown in green.

For Sn<sub>2</sub>S<sub>3</sub>, the Sn 3d<sub>5/2</sub> spectrum was fitted with two peaks separated by 0.6 eV, which were assigned to the Sn(II) and Sn(IV) oxidation states present in this mixed-valency compound. This assignment is strengthened by the fact that the area ratio was calculated to be 1:1, as expected, and that the FWHM values are comparable to those of the corresponding peaks in the single-valency materials, confirming the expected distribution of tin oxidation states, and providing strong evidence for a phase-pure material. The difference in binding energy of the peaks is, however reduced compared to the single-valency phases; this can be

explained by the fact that the photoemitted electrons from Sn(II) experience a stronger bond due to the presence of Sn(IV) ions, shifting the corresponding Sn  $3d_{5/2}$  peak to a higher binding energy, while the converse occurs for electrons photoemitted from Sn(IV) ions, shifting the peak to a lower binding energy.

The S 2p doublet was very well resolved for all three samples, and was fitted using two peaks separated by 1.2 eV with area ratio 1:2, corresponding to the  $2p_{1/2}$  and  $2p_{3/2}$  features, respectively. It was anticipated that the spectrum for  $\text{Sn}_2\text{S}_3$  should show two S 2p doublets; however, within the resolution of this study, no further peaks could be resolved and the binding energy shifts are unknown. We therefore report only the binding energy of the S  $2p_{3/2}$  peak for each crystal in Table 1, which are in agreement with S in an oxidation state of -2,<sup>37,39</sup> as expected. Trace amounts of sulfur-containing contamination remained on  $\text{SnS}_2$  and is also shown in Figure 2. The peaks assigned to these contaminants have binding energies which are in agreement with similar compounds.<sup>64</sup>

The fitted Sn  $3d_{5/2}$  binding energies of 485.6 eV and 486.5 eV for SnS and  $\text{SnS}_2$ , respectively, are in good agreement with other studies of these materials, once the charge referencing methods used are taken into account.<sup>35,37,62,65,66</sup> However, there are also several reports where the results are in stark contrast to those shown here. This is due to a number of issues, including: an unsubstantiated method of charge-referencing based on sulfur;<sup>38,41</sup> a mixed-phase system, believed to be pure;<sup>39,43</sup> a misprint;<sup>21,67</sup> or, most commonly, the reporting of “S 2p” binding energies without resolution into their spin-orbit split components.<sup>38,65,66</sup> There have been few XPS studies of  $\text{Sn}_2\text{S}_3$ , and none have attempted to resolve the two tin oxidation states. These studies do, however, report an enveloping binding energy intermediate of those of the other two phases<sup>38</sup> with a wider FWHM,<sup>40</sup> suggesting that the peak was in fact a convolution of peaks from the two different oxidation states. It is also noted that a lack of attention to the differences in choice of charge reference may have led to the misidentification of oxidation state, and hence phase, in past studies of tin sulfides.<sup>39,40</sup>

Regardless of this, the oxidation states of tin, and therefore the different phases of tin sulfide, can be easily distinguished using properly energy-referenced XPS of a sufficiently high resolution on clean samples. This finding, and a comparison of the XPS spectra of the three phases, have not been reported before, and indicate that XPS can be a useful tool in determining the phase/purity of tin sulfide samples, when appropriately coupled with other phase identification techniques such as XRD and Raman spectroscopy. According to our results, XPS can be reliably used to identify phase-pure SnS or  $\text{SnS}_2$ , and could be used in conjunction with other techniques to identify  $\text{Sn}_2\text{S}_3$  or mixed-phase systems. In this way, it can therefore help control single-phase growth of SnS for use in PV applications, and also in the identification of tin sulfide phases forming during the growth of CZTS.

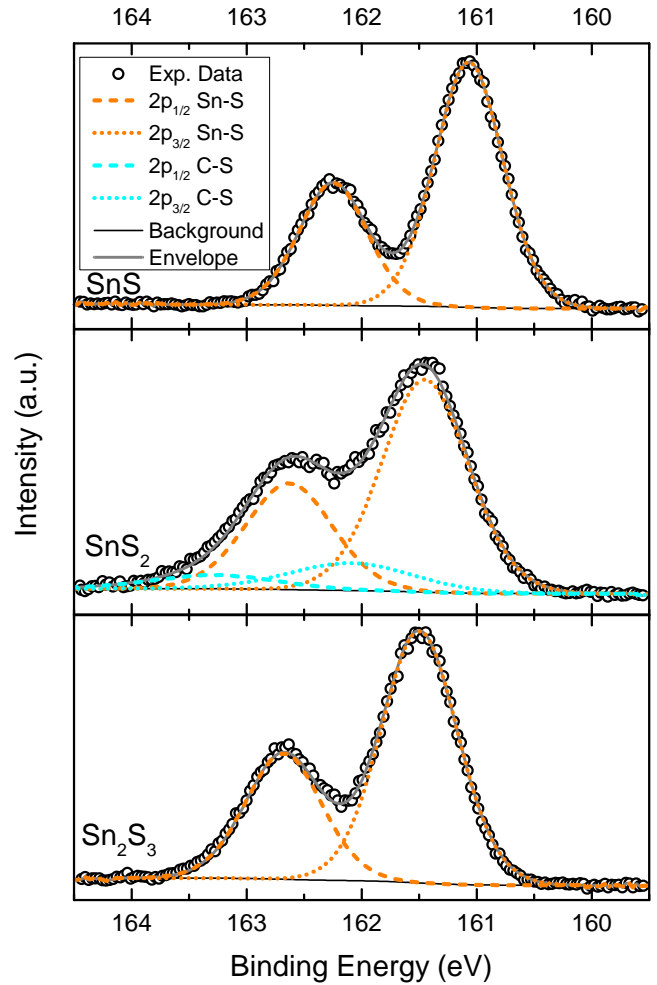


Figure 2. XPS spectra for the S 2p doublet of the clean tin sulfides.  $\text{SnS}_2$  showed trace amounts of sulfur-containing contamination, shown in cyan.

**Table 1. Fitted Binding Energies of the Main XPS Core-Level for Clean, Phase-Pure Crystals of SnS,  $\text{SnS}_2$  and  $\text{Sn}_2\text{S}_3$ . Peak FWHM values are given in parentheses. All values are given in eV.**

Crystal	Sn $3d_{5/2}$		S $2p_{3/2}$
	Sn(II)	Sn(IV)	
SnS	485.6 (0.8)	–	161.1 (0.7)
$\text{SnS}_2$	–	486.5 (0.9)	161.5 (0.9)
$\text{Sn}_2\text{S}_3$	485.8 (0.8)	486.4 (0.9)	161.5 (0.8)

#### Valence Band Spectra

Figure 3 shows the simulated partial density of states (PDOS) curves of the three tin sulfide phases, together with experimentally measured valence band (VB) spectra, obtained from XPS measurements. A Shirley background was subtracted from the latter and includes the Sn 4d core levels, since some VB features are found in the tail of these states. The simulated PDOS curves were corrected using standard photoionization cross-sections,<sup>68</sup> convolved with a Gaussian function (0.41 eV FWHM) to account for ther-

mal broadening and the analyzer response, and then further convolved with a Lorentzian function (0.35 eV FWHM) to account for lifetime broadening. Simulated total DoS curves, obtained by summing the individual PDoS functions, are also shown for direct comparison to the XPS measurements.

The corrected DoS curves are in good agreement with the XPS data for all three phases, with all features present and only slight discrepancies in feature positions and relative intensities. The main discrepancy is found in the high binding energy feature, common to all spectra, which we ascribe to final-state relaxation effects in XPS, which are known to shift features near the bottom of the valence band closer to the valence band edge,<sup>69</sup> and which were not accounted for in the calculations. Also, it has previously been suggested that lifetime broadening increases with binding energy,<sup>69</sup> whereas a constant broadening was used here.

The VB of SnS is comprised of three main features. The first, at 6.5-10 eV binding energy, is dominated by Sn 5s states hybridized slightly with S 3s and 3p states. The second, at 2.5-6.5 eV, is comprised mainly of S 3p states, with a slight contribution from Sn 5p states. The top of the valence band is formed from a hybridization of S 3p and Sn 5s states, with a slight contribution from Sn 5p states. The SnS<sub>2</sub> VB shows two main features, one at 7.5-10 eV, which is dominated by Sn 5s states hybridized slightly with S 3s and 3p states, and a second at 1-7.5 eV, composed of three distinct peaks. Of these, the two at higher binding energy are dominated by S 3p states, with a slight contribution from Sn 5p states, while the largest peak at the top of the valence band is solely due to S 3p states, with no contribution from others. The Sn<sub>2</sub>S<sub>3</sub> VB is similarly composed of two main features, the first at 7-10 eV is dominated by Sn 5s states hybridized slightly with S 3s and 3p states, while the second at 1-7.5 eV is similar to the corresponding feature in SnS<sub>2</sub>, but with less well-defined peaks. The two peaks at higher binding energy in this region are dominated by S 3p states, with a slight contribution from Sn 5p states, while the most intense peak mainly consists of S 3p states. In contrast to SnS<sub>2</sub>, however, there is a shoulder at the top of the valence band, due to a slight hybridization with Sn 5s states.

In the Sn(II) oxidation state, the Sn 5s orbital is occupied and therefore contributes to states at the very top of the valence band; this can be seen in Figure 3 for the spectra of SnS and Sn<sub>2</sub>S<sub>3</sub>, but is lacking in that of SnS<sub>2</sub>. This confirms the expected electronic structure of these materials, i.e. that the top of the valence band for SnS is comprised of a hybridization of cation s and p states, and anion p states, which is in accordance with the lone pair formation mechanism.<sup>70,71</sup> Conversely, for Sn(IV) in SnS<sub>2</sub>, the unoccupied Sn 5s orbitals contribute no states to the top of the valence band, and instead contribute empty states to the conduction band, and the valence band in this material is thus dominated by anion p states.

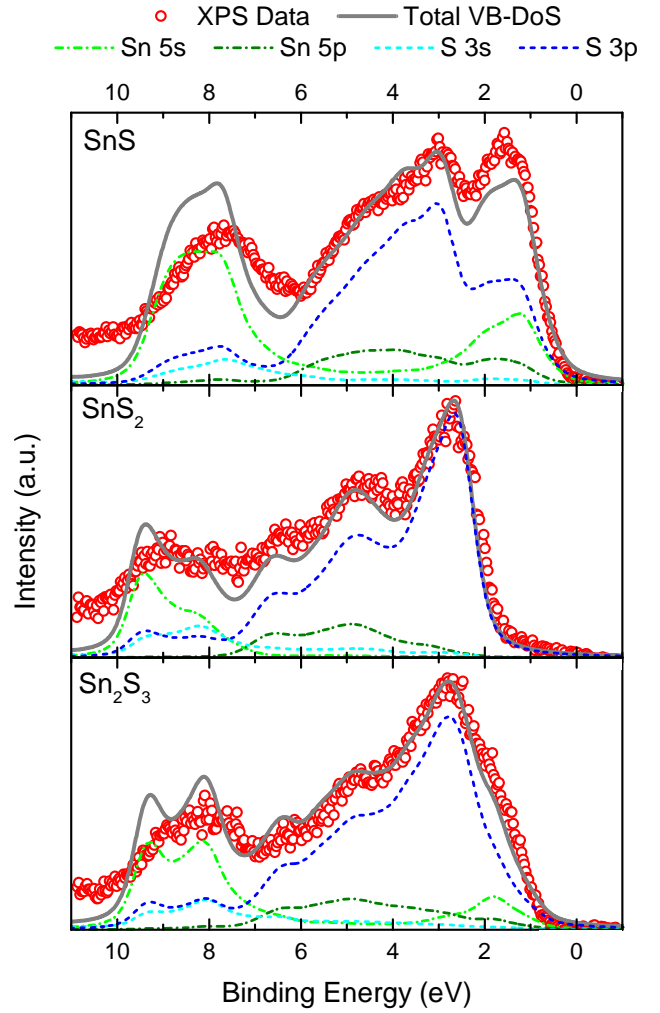


Figure 3. Simulated and measured valence-band spectra for SnS, SnS<sub>2</sub> and Sn<sub>2</sub>S<sub>3</sub> with respect to the Fermi level at 0 eV binding energy. The experimental data were obtained using XPS, and have been background corrected, while the calculated total and partial DoS curves have been cross-section corrected and broadened for a better comparison to the XPS spectra.

Sn<sub>2</sub>S<sub>3</sub> is a true mixed-valency material, with the lone pair hybridization contributing to the top of the valence band, but not as markedly as in SnS, and with the distinctive S 3p feature from SnS<sub>2</sub> also clearly visible.

Simulations of the electronic structures of the three phases have been carried out previously in the literature,<sup>65,72</sup> sometimes with support from XPS measurements,<sup>30,73</sup> and agree well with the results presented here. However, no direct comparison of the DoS and XPS spectra of the three phases has been made,<sup>66</sup> and nor have the contrasting features at the top of the valence band been discussed.<sup>74</sup> We therefore present the first comprehensive comparison of the three phases, employing XPS data and theoretical modeling. By examining the PDoS from the calculations, it can be clearly seen how the hybridization of the Sn lone pair introduces extra states higher in the valence band for SnS, and also how the VB of the mixed-valency Sn<sub>2</sub>S<sub>3</sub> is made up of a combination of spectral features



from the single-valency materials, which has not been previously examined directly.<sup>75</sup>

### Band Alignment

The combination of XPS and IPES measurements allows the energy-level placements of the valence band maxima ( $E_{VBM}$ ), conduction band minima ( $E_{CBM}$ ) and secondary electron cutoff ( $E_{SEC}$ ) with respect to the Fermi level ( $E_F$ ) to be obtained. These positions are determined by linear extrapolation of the leading edges of the VB and CB to the baseline. The vacuum level ( $E_{vac}$ ) can then be placed with respect to  $E_F$  by,

$$E_{vac} = E_{SEC} + h\nu,$$

where  $h\nu$  is the photon energy.

Hence, the ionization potential ( $IP$ ) and band gap ( $E_g$ ) of the materials can be calculated from:

$$IP = E_{vac} - E_{VBM},$$

$$E_g = E_{CBM} - E_{VBM}.$$

The band-edge fitting and corresponding calculated properties for the three phases are shown in Figure 4, with the SEC fittings shown in Figure S13 (Supporting Information). The calculated  $E_g$  of  $1.06 \pm 0.15$  eV and  $IP$  of  $4.71 \pm 0.07$  eV for SnS are in reasonable agreement with previous studies,<sup>16,27,34,76–80</sup> as is the  $E_g$  of  $2.28 \pm 0.15$  eV for SnS<sub>2</sub>.<sup>27,32,80–84</sup> The  $IP$  of  $6.44 \pm 0.07$  eV for SnS<sub>2</sub> is, however, found to be lower than in other studies<sup>27,76,81,82</sup>, possibly due to band-bending effects from slight contamination of the crystal as studied here.<sup>28</sup> For Sn<sub>2</sub>S<sub>3</sub>, we report a bandgap of  $1.10 \pm 0.15$  eV and an  $IP$  of  $4.66 \pm 0.07$  eV. There are comparatively few reports in the literature of the energy levels of this phase, but nevertheless the  $E_g$  determined here is in agreement with some previous studies.<sup>27,32</sup> The positions of the Fermi levels in the region probed by the PES measurements are also marked in Figure 4, and the proximity to either the VBM or conduction band minimum (CBM) confirms the expected  $p$ -type nature of SnS and  $n$ -type natures of SnS<sub>2</sub> and Sn<sub>2</sub>S<sub>3</sub>.<sup>85</sup>

By following a vacuum-alignment procedure,<sup>76</sup> we constructed a band-alignment diagram for the three phases (Figure 5), which facilitates both an analysis of the effects of unwanted secondary tin sulfide phase formation within solar cells, and also of the suitability of the three phases of tin sulfide for use in PV applications. Since in this work the crystals were measured in isolation, and the measurement did not take into account any possible band bending, flat bands were drawn and the position of the Fermi level with respect to the bulk band edges is not shown.

It can be seen that SnS and Sn<sub>2</sub>S<sub>3</sub> have similar band-level positions, whereas SnS<sub>2</sub> is notably offset to lower energies on the band-alignment diagram. This can be explained by the features seen in the valence-band spectra in Figure 3. The top of the valence band for SnS<sub>2</sub> is dominated by anion  $p$  states with no contribution from cation  $s$  states. However, for SnS, the Sn  $5s$  orbital is occupied, and due to its

hybridization with Sn  $p$  and  $S$   $p$  orbitals, a filled antibonding orbital occurs at the top of the valence band, higher in energy than the anion  $p$  orbital, resulting in a higher offset for SnS. Since Sn<sub>2</sub>S<sub>3</sub> is of mixed-valency and displays VB features of both SnS and SnS<sub>2</sub>, the same unusual features of the SnS spectrum are also present in this material and therefore Sn<sub>2</sub>S<sub>3</sub> exhibits similarly high band positions. This picture accounts for the remarkably low  $IP$  of both SnS and Sn<sub>2</sub>S<sub>3</sub> compared with SnS<sub>2</sub>; although this phenomenon has been noted previously, an explanation in terms of the electronic structure of the materials had not been put forward.

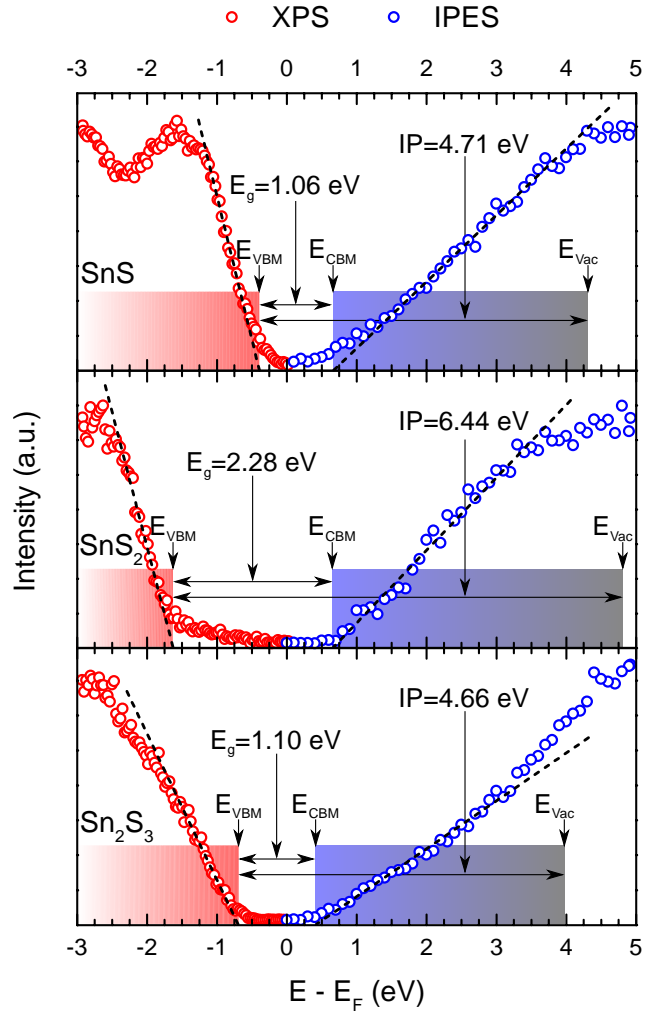


Figure 4. Band edge fitting and energy level determination for the three crystal phases.

The band alignment indicates that the formation of SnS<sub>2</sub> within a SnS solar cell would have a detrimental effect on performance. SnS<sub>2</sub> has a large negative ( $-0.51$  eV) conduction band offset (CBO) with respect to SnS and hence would act as a recombination center.<sup>22</sup> If  $n$ -type SnS<sub>2</sub> or Sn<sub>2</sub>S<sub>3</sub> were to form in CZTS, they could act as a second diode, mitigating the rectifying behavior of the cell. Also, due to its larger bandgap, SnS<sub>2</sub> could also act as an insulator within the cell, inhibiting charge transport.

When compared to other common thin-film PV absorber materials,<sup>86–88</sup> SnS was found to have a relatively low

*IP*, which, as discussed above, can be explained by the presence of extra states at the top of the valence band. This leads to a band-level mismatch when using common partner materials in a solar cell (such as CdS<sup>89</sup>), confirming previous theoretical work.<sup>25</sup> These results also expand on a previous theoretical study of the band alignment at the CdS/SnS junction,<sup>90</sup> the shortcomings of which include confusion around the correct crystal structure of SnS, and that the band alignment was not referenced to the vacuum level. It is also worth noting that because of its low *IP*, the formation of SnS as a secondary phase in CZTS would affect device performance.

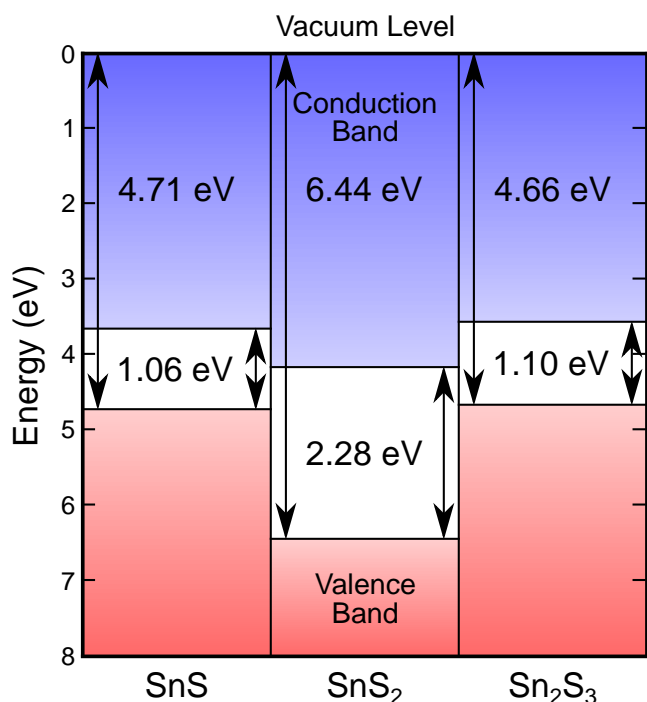


Figure 5. Vacuum-aligned band diagram between the three phases of tin sulfide, with the calculated *IP* and *E<sub>g</sub>* from XPS/IPES measurements marked.

An attractive proposition is to produce viable PV devices solely from tin sulfide materials. It can be seen from Figure 5 that SnS/SnS<sub>2</sub> and SnS/Sn<sub>2</sub>S<sub>3</sub> would form type-II heterojunctions; however, a solar cell based on a SnS/SnS<sub>2</sub> junction would be unfeasible due to the aforementioned CBO between them. However, when coupled with a more suitable energy-matched *p*-type absorber, SnS<sub>2</sub> could show promise as a window layer. A cell based on a SnS/Sn<sub>2</sub>S<sub>3</sub> junction would seem electronically favorable, with a small positive CBO (0.09 eV), but producing Sn<sub>2</sub>S<sub>3</sub> films of consistent quality has been shown to be problematic, depending on growth conditions,<sup>33</sup> and drawing analogy from CIGS, it is likely that a SnS cell would favor a type-I heterojunction architecture.<sup>76</sup> The results presented here for the relatively understudied Sn<sub>2</sub>S<sub>3</sub> are however, promising for the future development of this material.

## CONCLUSIONS

We have demonstrated that a clear identification of the tin sulfide phases SnS, SnS<sub>2</sub>, and Sn<sub>2</sub>S<sub>3</sub> is possible using

XPS, and illustrated the ancillary role that this technique could play in the identification of secondary phases within the PV absorbers SnS and CZTS. Distinct chemical shifts between the two tin oxidation states allow the identification of phase-pure SnS and SnS<sub>2</sub> through XPS alone. XPS/IPES band alignments of the three phases have revealed the potentially detrimental effects on cell performance if secondary phases form within SnS or CZTS films. Proper identification of tin sulfide phases is therefore very important when considering these materials for PV applications, as misidentification could easily lead to confusion during characterization. Furthermore, the agreement between calculated and measured density of states curves for the three phases revealed a distinct difference between the two oxidation states of tin, with additional states present at the top of the valence band for the compounds containing Sn(II), due to the hybridization of the lone pair of electrons in the Sn 5s orbital with the Sn 5p and S 3p orbitals. Such features are not present for the Sn(IV)-containing SnS<sub>2</sub>, in which the Sn 5s orbital is unoccupied. These additional states result in a relatively low *IP* for SnS and Sn<sub>2</sub>S<sub>3</sub> when compared to SnS<sub>2</sub>, and also to other materials commonly used in PV. This offers a new perspective on why SnS cells have thus far achieved only relatively poor performance. Possible uses for SnS<sub>2</sub> and Sn<sub>2</sub>S<sub>3</sub> as materials within photovoltaic cells have also been discussed.

## ASSOCIATED CONTENT

**Supporting Information.** Fittings for the secondary electron cutoffs in the XPS data for the three phases of tin sulfide. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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## ACKNOWLEDGMENTS

The authors gratefully thank the UK Engineering and Physical Sciences Research Council (EPSRC). T.J.W acknowledges funding through the EPSRC (Grant No. EP/J500471/1) and L.A.B. acknowledges funding from the JSPS (Grant No. 26.04792). J.M.S. and A.W. are supported by the EPSRC (Grant No. EP/K004956/1, EP/L017792/1, and EP/K016288/1). V.R.D. acknowledges support through the Capital for Great Technologies - Grid Scale Energy Storage Programme. T.D.V. acknowledges funding through the EPSRC (Grant No. EP/G004447/2). The computational modelling was carried out primarily using the Balena HPC cluster, maintained by the Bath University Computing Service, with some calculations undertaken on the Archer HPC facility through membership of the UK Materials Chemistry Consortium, which is funded by the EPSRC (Grant No. EP/L000202).

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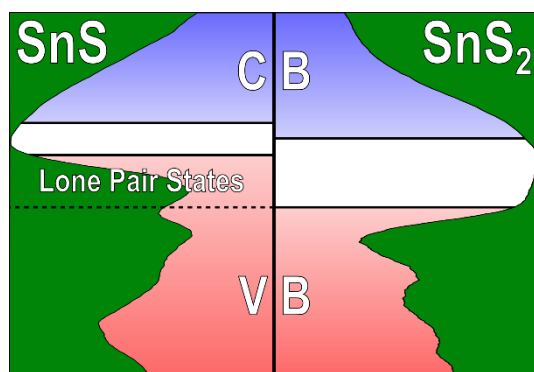
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## SUPPORTING INFORMATION

### Band Alignments, Valence Bands and Core Levels in the Tin Sulfides SnS, SnS<sub>2</sub> and Sn<sub>2</sub>S<sub>3</sub>: Experiment and Theory

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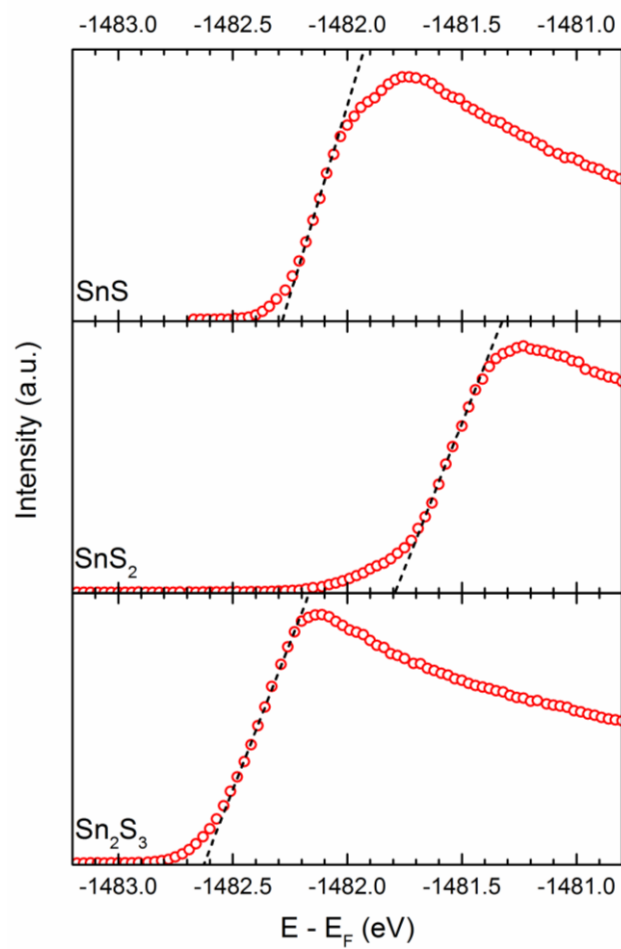


Figure S1. Secondary electron cutoffs for the XPS spectra of SnS, SnS<sub>2</sub> and Sn<sub>2</sub>S<sub>3</sub>, showing the linear extrapolation fits to the baselines.